

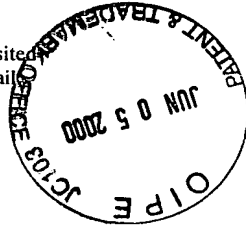
SECTOR 3

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail postage prepaid, in an envelope addressed to:

Box Missing Part
Assistant Commissioner for Patents
Washington, D.C. 20231

on this 1st day of June, 2000.

s/ Donna M. Hiles
Donna M. Hiles



RECEIVED
JUN 19 2000
TO 3600 MAIL ROOM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Applicant: Elliott)

Attention: Applications Branch)

Serial No.: 09/515,928)

Filed: 2/29/00)

Group Art Unit: 3635)

For: Shingle for optically simulating
a slate-roof)

Examiner: unassigned)

Box Missing Part
Assistant Commissioner For Patents
Washington, D.C. 20231

RESPONSE TO SUBMISSION OF MISSING PARTS

Sir:

In response to the Notice To File Missing Parts Of Application dated May 2, 2000, in the above-identified application, Applicant hereby submits their copy of the Fee Transmittal Form (PTO/SB/17) and copy of postcard which was originally submitted to the United States PTO upon filing of this application dated February 29, 2000.

If you have any questions regarding the submission of the Fee Transmittal Form, please contact me.

Respectfully submitted,

James J. Dottavio
James J. Dottavio
Registration No. 40,360

Date: 5-31-00

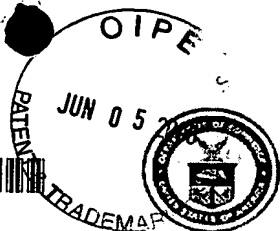
Owens Corning
Patent Dept., Bldg. 54
2790 Columbus Road
Granville, Ohio 43023

740-321-7167

FORMALITIES LETTER

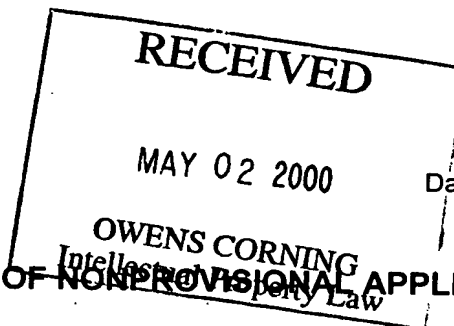


OC000000005082457

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/515,928	02/29/2000	Bert Whitmore Elliott	24673A

22889
OWENS CORNING, PATENT DEPT.
2790 COLUMBUS ROAD
BUILDING 54
GRANVILLE, OH 43023



Date Mailed: 04/28/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$108.
 ■ \$108 for 6 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 928.**

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Case No.: 24673A Attorney: JTD
Applicant: Elliott
Title: Shingle for Optically Simulating a Slate
Roof
Serial No.: Herewith Filed: Herewith

- | | |
|---------------------------------------------------------------------------------|--------------------------------------------------------------|
| <input checked="" type="checkbox"/> Patent application (<u>17</u> Total Pages) | <input type="checkbox"/> Preliminary Amendment |
| <input checked="" type="checkbox"/> Transmittal | <input type="checkbox"/> Response to Restriction Requirement |
| <input type="checkbox"/> Declaration and Power of Attorney | <input type="checkbox"/> Amendment/Response to Office Action |
| <input type="checkbox"/> Assignment and Recordation Sheet | <input type="checkbox"/> Request for Extension of Time |
| <input type="checkbox"/> Request for Corrected Filing Receipt | <input type="checkbox"/> Notice of Appeal |
| <input checked="" type="checkbox"/> Information Disclosure Statement With | <input type="checkbox"/> Issue Fee Transmittal |
| Form PTO 1449 and <u>16</u> References | <input type="checkbox"/> |
| <input checked="" type="checkbox"/> Formal Drawings (<u>6</u> Sheets) | <input type="checkbox"/> |

Date Mailed: 2-29-00 ☐ Certificate of Mailing ☒ Express Mail

Case No.: 24673A Attorney: JTD
Applicant: Elliott
Title: Shingle for Optically Simulating a Slate
Roof
Serial No.: Herewith Filed: Herewith

- | | |
|---------------------------------------------------------------------------------|-----------------------------------------------------------------|
| <input checked="" type="checkbox"/> Patent application (<u>17</u> Total Pages) | <input type="checkbox"/> Preliminary Amendment |
| <input checked="" type="checkbox"/> Transmittal | <input type="checkbox"/> Response to Restriction Requirement |
| <input type="checkbox"/> Declaration and Power of Attorney | <input type="checkbox"/> Amendment/Response to Office Action |
| <input type="checkbox"/> Assignment and Recordation Sheet | <input type="checkbox"/> Request for Extension of Time |
| <input type="checkbox"/> Request for Corrected Filing Receipt | <input type="checkbox"/> Notice of Appeal Jc584 U.S. PTO |
| <input checked="" type="checkbox"/> Information Disclosure Statement With | <input type="checkbox"/> Issue Fee Transmittal 09/515928 |
| Form PTO 1449 and <u>16</u> References | <input type="checkbox"/> |
| <input checked="" type="checkbox"/> Formal Drawings (<u>6</u> Sheets) | <input type="checkbox"/> |



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Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

Complete if Known

Application Number	Herewith
Filing Date	29 February 2000
First Named Inventor	Elliott
Examiner Name	
Group / Art Unit	
Attorney Docket No.	24673A

TOTAL AMOUNT OF PAYMENT (\$) 798.00

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-0568
Deposit Account Name: Owens-Corning Fiberglas Technology, Inc.

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	690.00
106 310	206 155	Design filing fee	0.00
107 480	207 240	Plant filing fee	0.00
108 690	208 345	Reissue filing fee	0.00
114 150	214 75	Provisional filing fee	0.00

SUBTOTAL (1) (\$) 690.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
26	-20** = 6	18.00	108.00
Independent Claims	3 - 3** = 0	78.00	0.00
Multiple Dependent	0	0	0.00

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 108.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	0.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	0.00
139 130	139 130	Non-English specification	0.00
147 2,520	147 2,520	For filing a request for reexamination	0.00
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	0.00
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	0.00
115 110	215 55	Extension for reply within first month	0.00
116 380	216 190	Extension for reply within second month	0.00
117 870	217 435	Extension for reply within third month	0.00
118 1,360	218 680	Extension for reply within fourth month	0.00
128 1,850	228 925	Extension for reply within fifth month	0.00
119 300	219 150	Notice of Appeal	0.00
120 300	220 150	Filing a brief in support of an appeal	0.00
121 260	221 130	Request for oral hearing	0.00
138 1,510	138 1,510	Petition to institute a public use proceeding	0.00
140 110	240 55	Petition to revive - unavoidable	0.00
141 1,210	241 605	Petition to revive - unintentional	0.00
142 1,210	242 605	Utility issue fee (or reissue)	0.00
143 430	243 215	Design issue fee	0.00
144 580	244 290	Plant issue fee	0.00
122 130	122 130	Petitions to the Commissioner	0.00
123 50	123 50	Petitions related to provisional applications	0.00
126 240	126 240	Submission of Information Disclosure Stmt	0.00
581 40	581 40	Recording each patent assignment per property (times number of properties)	0.00
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	0.00
Other fee (specify)			0.00
Other fee (specify)			0.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

SUBMITTED BY

Name (Print/Type): James J. Dottavio
Signature: [Signature]

Registration No. (Attorney/Agent): 40,360

Complete (if applicable)

Telephone: 740/321-7167
Date: 2-29-00

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign(+) inside this box → ☒

Approved for use through 09/30/2000. OMB 0851-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney/Agent No. 24673A

First Inventor or Application Identifier Elliott

Title Shingle for Optically Simulating a Slate Roof

Express Mail Label No. EL369383784US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 17]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
4. Oath or Declaration [Total Pages]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
 - * Small Entity
13. ☐ Statement(s) ☐ Statement filed in prior application,
(PTO/SB/09-12) Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____

Prior application Information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 22889 or ☐ Correspondence address below
(Insert Customer No. or Attach bar code label here)

Name					
Address					
City	State	Zip Code			
Country	Telephone	Fax			

Name (Print/Type)	James J. Dottavio	Registration No. (Attorney/Agent)	40,360
Signature	<i>James J. Dottavio</i>	Date	2-29-00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.